



# Conflict of Interests

 **BROKERAGEA**

# CONFLICT OF INTERESTS

## 1. INTRODUCTION

1.1. The Conflict of Interests terms apply to all parties involved in the Company's Terms and Conditions. Accordingly, conflicts may arise between and among the Client, the Company, and its workforce, including its employees and representatives.

1.2. If any of the parties intend to submit or file any concern or issue related to the interest that conflicts with the Terms and Conditions, the complainant must comply with the following procedures accordingly. The Client acknowledges that the submitted or filed issue will be subject to the approval of the Company and shall be assessed if it is in connection with the Terms and Conditions.

## 2. COMPLIANCE

2.1. The Client must include the following information upon his/her submission of the issue or concern, which he/she can send in writing to [info@brokeragea.com](mailto:info@brokeragea.com): Full Name, Account Number, Email, and essential details of the conflict. The Company may collect additional information from the Client as necessary and required to resolve the conflict.

2.2. If the Company identifies or discovers any conflict of interest, the Company may take the necessary actions to resolve the conflict. Regardless of the conflict that may occur, the Company may still reject or cancel any conflict of interest with reasonable grounds, especially if such conflict has the potential to spur further conflicts.

2.3. The Company may stop any ongoing activity in the Client's trading account upon assessment and acceptance of the conflict. If any conflict arises between or among the parties involved, the Company may disclose such conflict and allow the Client to act.

2.4. Particular occurrences may lead to a conflict of interest between or among the Clients and the Company. Such cause may occur if, without limitation:

- The Company makes a financial profit or prevents losses at the expense of the Client's interest.
- The Company takes an interest in the result of an order, trade, or service that is different from the Client's interest from the result.
- The Company receives information from a third party that its services are being provided or sold by a Client without the Company's authorization.

2.5. A conflict of interest will be assessed and evaluated up to seven (7) working days, while a resolution of such conflict does not have a definite resolution period and may depend on the type of conflict.

2.6. All conflicts will be evaluated constructively by the Company, and the Client acknowledges that the resolution of any conflict will require efforts from all parties. While the Company is not obligated to advise the Client during such a matter, the Client acknowledges that he/she must not publish or disclose any form of statement regarding the dispute or complaint before the Company has assessed such a case. The Client agrees that he/she will not threaten or blackmail the Company and any of its workforce regardless of the issue that arises. Any supposed threat or blackmail to the Company will lead to legal actions and restriction, cancellation, or termination of access or service.



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